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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number

942039

First Named Inventor

Minh-Tan Ton-That

COMPLETE IF KNOWN

Application Number

10/715,438

Filing Date

11/19/2003

Art Unit

1774

Examiner Name

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

POLYMERIC NANOCOMPOSITES

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

11/19/2003

as United States Application Number or PCT International

Application Number

10/715,438

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.


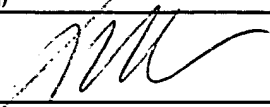
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to: <input checked="" type="checkbox"/>		Customer Number or Bar Code Label	33798		OR	<input type="checkbox"/>	Correspondence address below
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR :				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Minh-Tan				Ton-That			
Inventor's Signature						Date	
						2004-03-08	
Montreal			Quebec		Canada		Canadian
Residence: City			State		Country		Citizenship
2315 Cartier St.							
Mailing Address							
Montreal			Quebec		H2K 4E9		Canada
City			State		ZIP		Country
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Lechoslaw Adam				Utracki			
Inventor's Signature						Date	
						2004.03.09	
Pierrefonds			Quebec		Canada		Canadian
Residence: City			State		Country		Citizenship
15326 Boischatel							
Mailing Address							
Pierrefonds			Quebec		H9H 1Y6		Canada
City			State		ZIP		Country
<input checked="" type="checkbox"/> Additional inventors are being named on the <u>1</u> supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.							



PTO/SB/02A (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

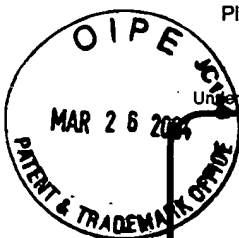
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DECLARATION**ADDITIONAL INVENTOR(S)
Supplemental Sheet**Page 1 of 1

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Kenneth		Cole	
Given Name		Family Name or Surname	
Inventor's Signature <i>Kenneth C. Cole</i>		Date <i>2004-03-09</i>	
St-Hubert	Quebec	Canada	Canadian
Residence: City	State	Country	Citizenship
5897 terasse Boisvert			
Mailing Address <i>terrasse (KC)</i>			
Mailing Address			
St-Hubert	Quebec	J3Y 6E1	Canada
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Johanne		Denault	
Given Name		Family Name or Surname	
Inventor's Signature <i>J Denault</i>		Date <i>9/03/04</i>	
Longueuil	Quebec	Canada	Canadian
Residence: City	State	Country	Citizenship
933 des Mesanges			
Mailing Address			
Mailing Address			
Longueuil	Quebec	J4G 2B1	Canada
City	State	ZIP	Country
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	ZIP	Country

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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	
Filing Date	
First Named Inventor	Minh-Tan Ton-That
Title	Polymeric Nanocomposites
Group Art Unit	
Examiner Name	
Attorney Docket Number	942039

I hereby appoint:

☒ Practitioners at Customer Number

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Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Minh-Tan Ton-That

Signature

Minh

Date

2003-11-17

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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SIGNATURE of Applicant or Assignee of Record

Name

Lechoslaw Adam Utracki

Signature

Date

2003. 11. 21

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

Kenneth Cole

Signature

Kenneth C. Cole

Date

Nov. 17, 2003

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SIGNATURE of Applicant or Assignee of Record

Name

Johanne Denault

Signature

J. Denault

Date

18.11.03

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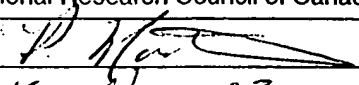
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I am the:

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☒ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	National Research Council of Canada
Signature	 Pat Mortimer-Secretary General
Date	18 Nov 03

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Minh-Tan Ton-That, Lechoslaw Adam Utracki, Kenneth Cole, Johanne DenaultApplication No./Patent No.: 10/715,438 Filed/Issue Date: 11/19/2003Entitled: POLYMERIC NANOCOMPOSITESNATIONAL RESEARCH COUNCIL OF CANADA Canadian Government Agency

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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3. From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

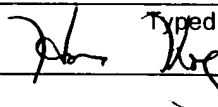
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 24, 2004

Date

HANS KOENIG, Reg. No. 46,474

Typed or printed name



Signature

Patent Agent

Title

Please type a plus sign (+) inside this box → +

PTO/SB/81 (02-01)

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Application Number	
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First Named Inventor	Minh-Tan Ton-That
Title	Polymeric Nanocomposites
Group Art Unit	
Examiner Name	
Attorney Docket Number	942039

I hereby appoint:

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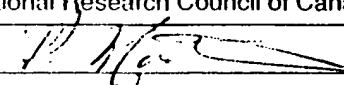
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☒ Assignee of record of the entire interest. See 37 CFR 3.71.
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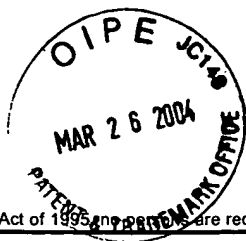
SIGNATURE of Applicant or Assignee of Record

Name	National Research Council of Canada
Signature	 Pat Mortimer-Secretary General
Date	15 Nov 03

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner Minh-Tan Ton-That, Lechoslaw Adam Utracki, Kenneth Cole, Johanne DenaultApplication No./Patent No.: 10/715,438 Filed/Issue Date: 11/19/2003Entitled: POLYMERIC NANOCOMPOSITESNATIONAL RESEARCH COUNCIL OF CANADA Canadian Government Agency

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To: _____

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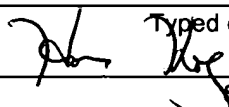
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 24, 2004

Date

HANS KOENIG, Reg. No. 46,474

Typed or printed name


Signature

Patent Agent

Title